



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.09/637,821
Filing Date08/11/2000
InventorshipJohnson et al.
ApplicantPacific Microsonics, Inc.
Attorney's Docket No.MS1-846US
Title: Compensation System and Method for Sound Reproduction

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8/23/01
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INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 CFR 1.97(e)

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The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Respectfully Submitted,

Date: 8/15/01

By: [Signature]
Lance R. Sadler
Reg. No. 38,605



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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/637,821
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Inventorship Johnson et al.
Group Art Unit
Examiner
Applicant Pacific Microsonics, Inc.
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Title: Compensation System and Method for Sound Reproduction

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

RECEIVED

To: The Commissioner of Patents & Trademarks
Washington, D.C. 20231
From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801

AUG 22 2001

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The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Information Disclosure Statement, Form PTO-1449 and 4 cited references
3. Return Post Card

Large Entity Status [x]

Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 8/15/01

By:

Lance R. Sadler
Reg. No. 38,605

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable)

Date: 8/15/01

By:

Lori A. Vierra